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<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>			U. S. APPLICATION NO. (If known see 37 C.F.R. 1.5) <b>09/806136</b>
INTERNATIONAL APPLICATION NO. <b>PCT/JP00/05055</b>	INTERNATIONAL FILING DATE <b>28 JULY 2000</b>	PRIORITY DATE CLAIMED <b>28 JULY 1999</b>	
TITLE OF INVENTION <b>RECORDING SYSTEM, DATA RECORDING APPARATUS, MEMORY APPARATUS, AND DATA RECORDING METHOD</b>			
APPLICANT(S) FOR DO/EO/US <b>Kenichi NAKANISHI and Shigeo ARAKI</b>			

Applicants herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- ☒ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
- ☐ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
- ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - ☐ is attached hereto (required only if not communicated by the International Bureau).
  - ☒ has been communicated by the International Bureau.
  - ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
- ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - ☐ are attached hereto (required only if not communicated by the International Bureau).
  - ☐ have been communicated by the International Bureau.
  - ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - ☒ have not been made and will not be made.
- ☐ A English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

- ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- ☐ A FIRST preliminary amendment.
- ☐ A SECOND or SUBSEQUENT preliminary amendment.
- ☐ A substitute specification.
- ☐ A change of power of attorney and/or address letter.
- ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
- ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
- ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- ☒ Other items or information:  
PCT/RO/101, PCT/ISA/210  
15 Sheets of Drawings

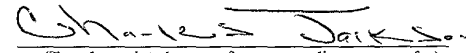
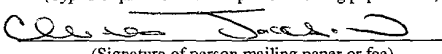
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U.S. APPLICATION NO. (If known, see 37 CFR 1.50) <b>09/806136</b>		INTERNATIONAL APPLICATION NO. PCT/JP00/05055		ATTORNEY'S DOCKET NO. 450106-02621	
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21. <input checked="" type="checkbox"/> The following fees are submitted				CALCULATIONS PTO USE ONLY	
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Total Claims	6 - 20 =	0	x \$18.00	\$ 0.00	
Independent Claims	4 - 3 =	1	x \$80.00	\$ 80.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$	
<input type="checkbox"/> Applicant claims small entity status. See 37 C.F.R. 1.27. The fees indicated above are reduced by 1/2.				\$	
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Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
<b>TOTAL NATIONAL FEE =</b>				\$ 940.00	
Fee for recording the enclosed assignments (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 40.00	
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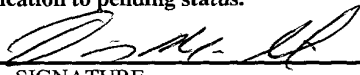
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**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR  
1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

**WILLIAM S. FROMMER, ESQ.  
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NEW YORK, NEW YORK 10151**

Dated: March 26, 2001

  
 SIGNATURE  
 DENNIS M. SMID  
 NAME  
 34,930  
 REGISTRATION NUMBER

JC08 Rec'd PCT/PTO 26 MAR 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Nakanishi et al.  
Serial No.: To be assigned  
Filed.: Filed Concurrently Herewith  
Title of Invention: RECORDING SYSTEM, DATA RECORDING APPARATUS,  
MEMORY APPARATUS, AND DATA RECORDING METHOD

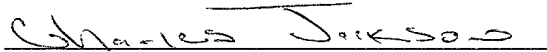
745 Fifth Avenue  
New York, NY 10151

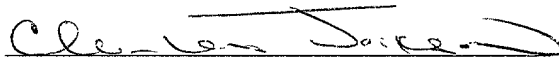
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PRELIMINARY AMENDMENT

Assistant Commissioner for Patents  
Box Patent Application (35 U.S.C. 111)  
Washington, D.C. 20231

Sir:

Before the issuance of the first Office Action, please amend the above-identified application as follows:

IN THE CLAIMS:

Please amend claim 4 as follows:

4. (Amended) An apparatus according to claim 1, wherein

an access is performed with reference to a logic cluster  
address/physical cluster address conversion table.


**REMARKS**

Claims 1-6 remain in the application. Claim 4 has been amended to eliminate multiple dependencies. Attached hereto is a marked up version of the changes made to claim 4 by the current amendment. The attached page is captioned "**Version with markings to show changes made.**" The filing fee has been calculated based upon these amendments to the claims.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicant

By:



Dennis M. Smid  
Reg. No. 34,930  
Tel. (212) 588-0800

**VERSION WITH MARKINGS TO SHOW CHANGES MADE**

**IN THE CLAIMS:**

4. (Amended) An apparatus according to claim 1 ~~2, or 3~~, wherein
- an access is performed with reference to a logic cluster
- address/physical cluster address conversion table.

15/PRTS

09/806136

JCO8 Rec'd PGT/PTO 26 MAR 2001

# DESCRIPTION

## RECORDING SYSTEM, DATA RECORDING APPARATUS, MEMORY APPARATUS, AND DATA RECORDING METHOD

### Technical Field

5           The invention relates to a recording system,  
a data recording apparatus, a memory apparatus, and  
a data recording method, in which a memory card  
which is detachable to/from an apparatus is used as  
a recording medium.

### 10 Background Art

          According to an electrically rewritable  
non-volatile memory called EEPROM (Electrically  
Erasable Programmable ROM), since one bit is  
constructed by two transistors, an occupation area  
15 per bit is large and there is a limitation in case  
of raising an integration degree. To solve such a  
problem, a flash memory in which one bit can be  
realized by one transistor by an all-bit batch  
erasing method has been developed. The flash memory  
20 is expected as a memory which can be substituted for  
a recording medium such as magnetic disk, optical  
disk, or the like.

          It is known that a memory card having a  
flash memory is constructed so as to be detachable  
25 to/from an apparatus. By using such a memory card,  
a digital audio recording and reproducing apparatus  
using the memory card in place of the conventional

disk-shaped medium such as CD (Compact Disc), MD (Minidisc), or the like can be realized. Besides audio data, still image data and motion image data can be also recorded into the memory card and it can  
5 be used as a recording medium of a digital still camera or a digital video camera.

According to the flash memory, a data unit called a segment is divided into a predetermined number of clusters (fixed length) and one cluster is  
10 divided into a predetermined number of sectors (fixed length). The cluster is also called a block. The sector is also called a page. In the flash memory, an erasure is performed in a lump on a cluster unit basis, and the writing or reading  
15 operation is performed in a lump on a sector unit basis.

For example, in case of the flash memory of 4 MB (megabytes), as shown in Fig. 12, one segment is divided into 512 clusters. The segment is a unit  
20 for managing a predetermined number of clusters. One cluster is divided into 16 sectors. One cluster has a capacity of 8 kB (kbytes). One sector has a capacity of 512 B. A memory of a capacity of 16 MB can be constructed by using four segments each  
25 having a capacity of 4 MB.

As shown in Fig. 13A, logic cluster addresses are allocated to a memory space of 16 MB.

The logic cluster address is set to a length of 2 bytes in order to distinguish  $512 \times 4 = 2048$  clusters. In Fig. 13A, the logic cluster address is expressed by a hexadecimal number. 0x denotes the hexadecimal notation. A logic address is an address which is logically handled by a data processing apparatus (software). A physical address is added to each cluster in the flash memory. A correspondence relation between the clusters and the physical addresses is unchanged.

According to the flash memory, by rewriting data, an insulating film deteriorates and the number of rewriting times is limited. Therefore, it is necessary to prevent a situation such that accesses are repetitively and concentratedly performed to a certain same memory area (cluster). Therefore, in case of rewriting data in a certain logic address stored in a certain physical address, in a file system of the flash memory, updated data is not rewritten to an unused cluster. Thus, the correspondence relation between the logic addresses and the physical addresses before the data updating changes after the updating. By performing such a swapping process as mentioned above, the situation such that the accesses are repetitively and concentratedly performed to the same cluster is prevented, so that a life of the flash memory can be



extended.

Since the logic cluster address is accompanied by the data which has once been written into the cluster, even if physical cluster addresses in which the data before updating and the data after the updating are written are changed, the same address is seen from a file management system and the subsequent accesses can be properly performed. Since the correspondence relation between the logic addresses and the physical addresses is changed by the swapping process, a logical/physical address conversion table showing the correspondence between them is needed. By referring to such a table, the physical cluster address corresponding to the designated logic cluster address is specified, thereby enabling the access to the cluster shown by the specified physical cluster address to be performed.

The logical/physical address conversion table is stored in a memory by the data processing apparatus. If a memory capacity of the data processing apparatus is small, the table can be stored in the flash memory. Fig. 13B shows an example of a logical/physical address conversion table regarding segment 1. As shown in Fig. 13B, in the logical/physical address conversion table, the physical cluster addresses (2 bytes) are made to

correspond to the logic cluster addresses (2 bytes)  
arranged in the ascending order, respectively. The  
logical/physical address conversion table is managed  
every segment and its size increases in accordance  
5 with the capacity of the flash memory.

There is a case where it is desirable to  
set a data writing speed to be higher than the  
ordinary one by making a plurality of storages of  
the flash memory operative in parallel. For example,  
10 an electronic music distribution EMD for  
distributing music data through a network is being  
put into practical use. The distributed music data  
is stored into a hard disk of a personal computer,  
data of a desired music piece is copied or moved  
15 into a memory card by the personal computer, and the  
memory card is attached into a portable recorder, so  
that the user can easily listen to the desired music  
at a place other than his home. Data of a plurality  
of music pieces is downloaded into the memory card  
20 from the hard disk by a parallel writing operation  
(at a high speed) and, upon reproduction, the music  
data is read out from the memory card at a normal  
speed.

Fig. 14 shows a construction of a  
25 conventional logic address for four storages. In  
the example of the diagram, address spaces in the  
memory are expressed by 11 bits of A0, A1, ..., and

A10. A0 denotes the LSB (least significant bit) and A10 indicates the MSB (most significant bit). The storages each having a capacity of 4 MB are switched by the MSB (A10) and the second MSB (A9). Addresses  
5 of 9 bits of A0 to A8 are allocated to a sector and a segment in each storage.

When data is written, the operation is executed at a timing as shown in Fig. 15. First, the data is transferred from the host side to a page  
10 buffer of a sector size. Time T is required to transfer. In a next write busy period, the data is transferred from the page buffer into a flash buffer in the flash memory and the data is written into the storage.

15 Upon reading, as shown in Fig. 16, the data is read out from the flash memory for a read busy period. The read-out data is transferred to a page buffer of a sector size. In the next transfer time T, the data is transferred from the page buffer to  
20 the host side.

Fig. 17 is a flowchart showing a flow of processes in case of writing data into continuous logic sectors 0 to 3 belonging to different clusters in a certain segment. In first step S11, a  
25 logical/physical conversion table is formed with respect to a segment as a target to be written. In step S12, sector 0 is sent from the host side. The

time T is required for this transfer. In step S13, sector 0 is written into the flash memory. In step S14, sector 1 is sent from the host side. In step S15, sector 1 is written into the flash memory.

5 Processes for sending of sector 2 (step S16), writing of sector 2 (step S17), sending of sector 3 (step S18), and writing of sector 3 (step S19) are sequentially performed. Hitherto, for example, even if four storages are provided in parallel, since  
10 accesses are concentrated to one storage, a high processing speed cannot be realized.

As for a data construction of one sector on the flash memory, as shown in Fig. 18, an area having a length of 16 bytes in which management  
15 information is recorded is added to data of 512 bytes. The management information comprises a logic cluster number, cluster management information, and attribute information. The cluster management information is set to the same information among all  
20 sectors in a certain cluster and includes information indicative of "valid/invalid" of the cluster or the like. The attribute information is information of every sector and includes copyright information or the like. For example, when the  
25 flash memory is attached into the apparatus, the host side reads the management information and forms a table of the logic cluster and the physical

cluster with respect to the segment.

In case of making a plurality of storages operative in parallel, it is necessary to consider a method of accessing to a plurality of storages. Fig. 19 shows a construction of supplying addresses to four storages. Fig. 20 shows addresses in a flash memory of  $4 \text{ MB} \times 4 = 16 \text{ MB}$ . As described with reference to Fig. 14, the addresses are expressed by 11 bits of A0, A1, ..., and A10. A0 is the LSB (least significant bit) and A10 is the MSB (most significant bit). The storages each having a capacity of 4 MB are switched by the MSB (A10) and the second MSB (A9). The addresses of 9 bits of A0 to A8 are allocated to the clusters in each storage.

Hitherto, to switch the four storages, the addresses are supplied to the flash memory as shown in Fig. 19. The addresses A0 to A8 of 9 bits on the lower side are allocated in common to the four storages (0 to 3). The addresses A9 and A10 of 2 bits on the upper side are supplied to a 2-to-4 decoder 60. Selection signals CS0, CS1, CS2, and CS3 for selecting the storages are generated from the decoder 60.

In case of  $(A10, A9) = 00$ , the selection signal CS0 to select the storage 0 is generated from the decoder 60. When  $(A10, A9) = 01$ ,  $(A10, A9) = 10$ , or  $(A10, A9) = 11$ , each of the selection signals CS1,

CS2, and CS3 for selecting each of the storage 1, storage 2, and storage 3 is generated from the decoder 60.

An address change at the time when the address is increased from a state where all of 11 bits are equal to 0 to a state where they are equal to 1 due to the switching of the storages as mentioned above is shown by arrows in Fig. 20. That is, when the address changes from the head cluster of the storage 0 to the final cluster of the storage 0, the cluster address changes so as to subsequently shift to the head cluster of the storage 1. Fig. 21 shows an arrangement of the segments and the logic cluster addresses.

In the conventional switching of the storages of the flash memory mentioned above, a storage selection signal is formed by using a few bits from the MSB of the address. Thus, the segments are concentratedly arranged onto one storage and the segments are different every storage. According to such a method, a plurality of clusters of the same segment cannot be simultaneously written in parallel. For example, since four clusters (0x0004, 0x0005, 0x0006, 0x0007) in Fig. 21 are included in the same storage 0, they cannot be simultaneously written.

The clusters of a plurality of segments,

for example, four clusters (0x0004, 0x0204, 0x0404, 0x0604) in Fig. 21 can be simultaneously written into the storages 0 to 3. However, according to the flash memory, since a logical/physical address conversion table is constructed every segment, it is necessary to refer to the logical/physical address conversion table upon accessing. Therefore, like an example mentioned above, when four clusters are simultaneously written over four segments, a memory for holding address conversion tables of four segments is needed. Each time the data of one sector is written into each segment, the necessity of referring to the address conversion table is caused. Performance upon writing (or upon reading) is deteriorated due to an overhead which is caused there.

It is, therefore, an object of the invention to provide a data processing system, a data processing apparatus, a memory apparatus, and a data recording method, in which data can be written in parallel into a plurality of storages and performance upon reading can be improved.

#### Disclosure of Invention

To solve the above problems, according to the invention of Claim 1, there is provided a data processing system in which a non-volatile memory apparatus having a plurality of storages in which

one cluster is constructed by a plurality of sectors  
and one segment is constructed by a plurality of  
clusters is detachable to/from a data processing  
apparatus, wherein

5           the data processing apparatus has  
          address designating means for designating  
an address of the cluster in which data is recorded,  
          the memory apparatus has  
          recording means for recording the data into  
10 the address designated by the address designating  
means, and

          the data of a plurality of clusters in one  
segment is distributed and arranged into a plurality  
of storages.

15           According to the invention of Claim 2,  
there is provided a data processing apparatus using  
a non-volatile memory apparatus, as a recording  
medium, having a plurality of storages in which one  
cluster is constructed by a plurality of sectors and  
20 one segment is constructed by a plurality of  
clusters, wherein

          data of a plurality of clusters in one  
segment is written into the memory apparatus so as  
to be distributed and arranged into a plurality of  
25 storages.

          According to the invention of Claim 3,  
there is provided a non-volatile memory apparatus



which is detachable to/from a data processing apparatus and has a plurality of storages in which one cluster is constructed by a plurality of sectors and one segment is constructed by a plurality of  
5 clusters, wherein

data of the plurality of clusters in one segment is distributed and arranged into a plurality of storages.

According to the invention of Claim 6,  
10 there is provided a data recording method of recording, in parallel, data in which one cluster is constructed by a plurality of sectors and which exists over a plurality of clusters into a plurality of storages, comprising the steps of:

15 designating a cluster address and writing data into the designated cluster address; and

after completion of the parallel writing process, distributing and arranging the data of a plurality of clusters in one segment into a  
20 plurality of storages.

According to the invention, the data of a plurality of clusters in one segment can be written in parallel. When the written data is read out, if the data exists in the same segment, the switching  
25 of the logical/physical address conversion table is not caused, so that the performance upon reading can be improved.

### Brief Description of Drawings

Fig. 1 is a block diagram showing a whole construction of an embodiment of the invention;

Fig. 2 is a block diagram schematically  
5 showing a construction of a memory card in the embodiment of the invention;

Fig. 3 is a block diagram showing a more detailed construction of the memory card in the embodiment of the invention;

10 Fig. 4 is a schematic diagram for explaining an address construction in the embodiment of the invention;

Fig. 5 is a schematic diagram for explaining the parallel writing operation in the  
15 embodiment of the invention;

Fig. 6 is a timing chart for explaining the writing operation in the embodiment of the invention;

Fig. 7 is a timing chart for explaining the  
20 reading operation in the embodiment of the invention;

Fig. 8 is a flowchart for explaining the writing operation in the embodiment of the invention;

25 Fig. 9 is a block diagram for explaining the switching of storages in the embodiment of the invention;

Fig. 10 is a schematic diagram for explaining the switching of storages in the embodiment of the invention;

Fig. 11 is a schematic diagram showing the relation between segments and logic cluster addresses in the embodiment of the invention;

Fig. 12 is a schematic diagram showing a construction of an example of a flash memory to which the invention can be applied;

Fig. 13 is a schematic diagram showing an example of a logical/physical address conversion table of the flash memory to which the invention can be applied;

Fig. 14 is a schematic diagram for explaining a conventional address construction;

Fig. 15 is a timing chart for explaining the conventional writing operation;

Fig. 16 is a timing chart for explaining the conventional reading operation;

Fig. 17 is a flowchart for explaining the conventional writing operation;

Fig. 18 is a schematic diagram for explaining a sector construction and management information;

Fig. 19 is a block diagram for explaining the conventional switching of storages;

Fig. 20 is a schematic diagram for

explaining the conventional switching of storages;  
and

Fig. 21 is a schematic diagram showing the  
conventional relation between segments and logic  
5 cluster addresses.

#### Best Mode for Carrying Out the Invention

An embodiment of the invention will now be  
described hereinbelow. Fig. 1 shows a construction  
of a system to which the invention can be applied.  
10 In the system, a data processing apparatus on the  
host side and a memory card are connected via a  
serial interface. In Fig. 1, reference numeral 1  
denotes a CPU. A memory 2, a display 3, and an  
input/output unit 4 are connected to a bus of the  
15 CPU 1.

A serial interface 5 is arranged between  
the CPU bus and a memory card 6 surrounded by a  
broken line. The memory 2 includes an ROM for  
storing a program and an RAM which is used as a work  
20 area. Specifically speaking, the data processing  
apparatus is a personal computer, a digital still  
camera, a digital video camera, a digital audio  
recorder, or the like. The memory card 6 has a  
flash memory 7. The flash memory 7 is, for example,  
25 an NAND-type flash memory (non-volatile memory).  
There is also a case where an enciphering circuit is  
assembled in the memory card 6 for the purpose of

protection of the copyright of contents which are stored.

The invention can be also applied to a case where the transmission and reception of data between the data processing apparatus and the memory card 6 are executed by a parallel interface instead of the serial interface.

The flash memory 7 is a memory having a capacity of, for example,  $4 \text{ MB} \times 4 = 16 \text{ MB}$ . As mentioned with reference to Fig. 12, in case of the flash memory of 4 MB (megabytes), one segment is divided into 512 clusters and one cluster is divided into 16 sectors. One cluster has a capacity of 8 kB (kbytes) and one sector has a capacity of 512 B. As described with reference to Fig. 13A, the logic cluster addresses are allocated into the memory space of 16 MB. As described with reference to Fig. 13B, the logical/physical address conversion table showing the correspondence relation between the logic cluster addresses and the physical cluster addresses is formed on a segment unit basis. Further, as mentioned above, the physical cluster addresses of 11 bits of A0, A1, ..., and A10 are used for the flash memory of  $4 \text{ MB} \times 4 = 16 \text{ MB}$ .

As shown in Fig. 2, the parallel writing can be performed to the flash memory 7. Fig. 2 shows only the portion regarding the data

input/output for simplicity of explanation. Four  
corresponding memory cells MC0 to MC3 are provided  
for storages 0 to 3, respectively. Data is supplied  
to the memory cells MC0 to MC3 through the data bus  
5 and flash buffers BF0 to BF3, respectively. That is,  
when the write data of one page is accumulated into  
each of the flash buffers BF0 to BF3 through the  
data bus, the data is simultaneously transferred  
from the flash buffers BF0 to BF3 to the memory  
10 cells MC0 to MC3. Although Fig. 2 shows an example  
in which one IC package has four storages, four  
flash memories of different packages can be also  
used. Further, a plurality of flash memories each  
having a plurality of storages in a package can be  
15 also combined.

Fig. 3 shows a more specific construction  
of the memory card 6 to which the invention can be  
applied. The memory card 6 is formed by  
constructing a control block 11 and the flash memory  
20 7 as one chip IC. The bidirectional serial  
interface 5 between the CPU 1 of the data processing  
apparatus and the memory card 6 comprises ten lines.  
Four main lines are a clock line SCK for  
transmitting a clock upon data transmission, a  
25 status line SBS for transmitting a status, a data  
line DIO for transmitting data, and an interruption  
line INT. Two GND lines and two VCC lines are

provided as other power supplying lines. Two lines Reserv are undefined lines.

The clock line SCK is the line for transmitting the clock synchronized with the data.

- 5 The status line SBS is the line for transmitting a signal indicative of the status of the memory card 6. The data line DIO is the line for inputting and outputting a command and enciphered audio data. The interruption line INT is the line for transmitting
- 10 an interruption signal for requesting an interruption to the CPU 1 of the data processing apparatus from the memory card 6. The interruption signal is generated when the memory card 6 is attached. In the embodiment, however, since the
- 15 interruption signal is transmitted through the data line DIO, the interruption line INT is connected to the ground and is not used.

A serial/parallel conversion, parallel/serial conversion, and interface cluster

20 (abbreviated to an S/P, P/S, I/F cluster) 12 in the control block 11 is connected to the interface 5. The S/P, P/S, I/F block 12 converts the serial data received from the data processing apparatus into parallel data, fetches it into the control block 11,

25 converts the parallel data from the control block 11 into the serial data, and sends it to the data processing apparatus.

In a format by which data is transmitted through the data line DIO, a command is first transmitted and, thereafter, data is transmitted. The S/P, P/S, I/F block 12 stores the command into a command register 13 and stores the data into a page buffer 14 and a write register 15. An error correction encoding circuit 16 is provided in association with the write register 15. The error correction encoding circuit 16 forms a redundancy code of an error correction code for the data temporarily stored in the page buffer 14.

The output data of the command register 13, page buffer 14, write register 15, and error correction encoding circuit 15 is supplied to a flash memory interface and sequencer (abbreviated to a memory I/F, sequencer) 17. The memory I/F, sequencer 17 is an interface between the control block 11 and flash memory 7 and controls the transmission and reception of the data between them. The data is written into the flash memory 7 through the memory I/F, sequencer 17.

The data read out from the flash memory 7 is supplied to the page buffer 14, a read register 18, and an error correcting circuit 19 through the memory I/F, sequencer 17. The data stored in the page buffer 14 is error corrected by the error correcting circuit 19. The error corrected outputs



of the page buffer 14 and read register 18 are supplied to the S/P, P/S, I/F block 12 and supplied to the CPU 1 of the data processing apparatus through the serial interface 5.

5                   Reference numeral 20 denotes a configuration ROM in which version information, various attribute information, and the like of the memory card 6 have been stored. A switch 21 for prevention of erroneous erasure which can be  
10                   operated by the user as necessary is provided for the memory card 6. When the switch 21 is in a connecting state of erasure inhibition, even if a command to instruct the erasure of the flash memory  
15                   7 is sent from the data processing apparatus side, the erasure of the flash memory 7 is inhibited. Further, reference numeral 22 denotes an oscillator for generating a clock serving as a reference for timing of the process of the memory card 6.

                  The serial interface between the data  
20                   processing apparatus and memory card 6 in the embodiment of the invention will be described further in detail. When the data is read out from the memory card 6, a read command is transmitted to the memory card 6 from the data processing apparatus  
25                   and the memory card 6 receives the read command. After completion of the transmission of the command, the memory card 6 executes a process for reading out

the data in the address designated by the received read command from the flash memory 7. While this process is being executed, a busy signal (high level) is transmitted to the data processing apparatus through the data line DIO. After completion of the reading of the data from the flash memory 7, the output of the busy signal is stopped and an output of a ready signal (low level) showing the completion of preparation for sending the data from the memory card 6 to the data processing apparatus is started.

By receiving the ready signal from the memory card 6, the data processing apparatus knows a fact that the process corresponding to the read command has been ready. The memory card 6 outputs the data read out to the page buffer to the data processing apparatus through the data line DIO. The status in which each of the above processes is executed is shown by a level change of the status line SBS.

When the data is written into the flash memory 7 of the memory card 6, a write command is transmitted from the data processing apparatus to the memory card 6 via the data line DIO. A write address is transmitted in association with the write command. Although the writing and reading operations of the data are performed on a sector

unit basis in the flash memory 7, the file is managed on a cluster unit basis in the data processing apparatus and addresses from the data processing apparatus are based on a cluster unit.

5 Subsequently, the data processing apparatus transmits the write data to the memory card 6 through the data line DIO. In the memory card 6, the received write data is accumulated into the page buffer. When the transmission of the write data is  
10 finished, the memory card 6 executes a process for writing the write data into the flash memory 7. A busy signal is outputted during the writing process. When the writing process of the write data is finished in the memory card 6, the output of the  
15 busy signal is stopped and the ready signal (low level) is transmitted to the data processing apparatus.

In case of performing the parallel writing operation via the serial interface, a command, an  
20 address, and data for writing into the storage 0 are transmitted and, thereafter, in a state where the busy signal is at the high level, a command, an address, and data for writing into the storage 1, a command, an address, and data for writing into the  
25 storage 2, and a command, an address, and data for writing into the storage 3 are sequentially transmitted. The command, address, and data for

writing into the storage 0 are again transmitted.  
At this time point, the previous writing process of  
the data into the storage 0 has been finished and  
the busy signal is at the low level. The parallel  
5 writing can be performed by repeating such an  
operation. The commands, addresses, and data can be  
also simultaneously transmitted by a method of using  
four serial interfaces in parallel.

The above embodiment of the invention will  
10 be described further in detail. Fig. 4 shows a  
construction of the address in the embodiment.  
Address spaces in the memory are shown by 11 bits of  
A0, A1, ..., and A10. A0 indicates the LSB (least  
significant bit) and A10 indicates the MSB (most  
15 significant bit). The storages each having a  
capacity of 4 MB are switched by the LSB (A0) and  
the second LSB (A1). The addresses of 9 bits of A2  
to A10 are allocated to the sectors and segments in  
the respective storages.

20 Fig. 5 is a diagram for explaining a file  
managing method in the system of Fig. 1 using the  
memory card 6 as a storing medium. In Fig. 5,  
reference numeral 30 denotes data in a data file,  
for example, in a compressed audio data file. As  
25 for the compressed audio data, usually, a file is  
formed every music piece and the file is recorded  
into the flash memory 7 in the memory card 6 on a

sector unit basis and read out from the flash memory 7.

In case of recording such data 30 into the flash memory 7 in parallel, as shown in Fig. 5, 5 writing sectors are selected from a plurality of clusters so that the sectors are continuously arranged in each cluster after the writing process and the data is simultaneously written into the selected sectors. Now, assuming that the size of 10 data 30 coincides with four clusters, the data 30 is recorded into the four clusters in the flash memory 7.

As shown in Fig. 5, the data is recorded so that the sectors are arranged in each cluster in 15 each storage in the original order after the writing. For example, in the case where the sectors numbered as 0, 1, 2, 3, ... in the original order are written into the storages 0 to 3 in parallel, the data of No. 0 is recorded into the head sector in the cluster in 20 the storage 0, the data of No. 16 is recorded into the head sector in the cluster in the storage 1, the data of No. 32 is recorded into the head sector in the cluster in the storage 2, and the data of No. 48 is recorded into the head sector in the cluster in 25 the storage 3, respectively.

As mentioned above, when the data is numbered every sector, four data units of the

numbers having offsets of the number which is equal  
to the number of sectors of the cluster are  
converted into parallel data and simultaneously  
written into four storages. Thus, in each storage  
5 in the flash memory 7, the data is arranged in the  
original order into the cluster constructed in the  
same storage in a manner similar to that of the  
existing flash memory. Therefore, the compatibility  
with the file format of the existing flash memory is  
10 held.

The data is sequentially read out every  
cluster from the flash memory recorded as mentioned  
above. For example, the data is sequentially read  
out from the head sector of the cluster in the  
15 storage 0 in Fig. 5. Subsequently, the data is  
sequentially read out from the head sector of the  
cluster in the storage 1. In this manner, the data  
is sequentially read out from the cluster in the  
storage 2 and the cluster in the storage 3. The  
20 order of the read-out data is the same as the  
original order. The erasing operation is performed  
on a cluster unit basis constructed every storage.

As mentioned above, according to the data  
arrangement after the parallel writing in the  
25 embodiment, the cluster is constructed in the same  
storage in a manner similar to the existing flash  
memory. Therefore, the compatibility of the file

format with that of the existing flash memory can be held.

Fig. 6 shows the writing operation in the embodiment. Data is first transferred from the host side to the page buffer of the sector size. Further, the data is transferred from the page buffer into the flash buffer BF0 of the storage 0. The time T is required to transfer. For the next write busy period, the data is written from the flash buffer BF0 into the storage 0. After the first transfer period T, the data of the next sector is transferred and written into the storage 1 for the write busy period. Since the writing operation into the storages 0 to 3 is performed in parallel as mentioned above, the writing speed higher than that in the conventional writing operation shown in Fig. 15 is accomplished.

Upon reading, as shown in Fig. 7, for a read busy period, the data is read out from each of the storages 0 to 3 and the read-out data is transferred to the flash buffers BF0 to BF3 of the sector size. At the next transfer time T, the data is transferred from the flash buffer BF0 to the page buffer and, further, the data is transferred from the page buffer to the host side. Subsequently, the data is sequentially outputted from the flash buffers BF1, BF2, and BF3 to the page buffers and

the data is transferred from the page buffers to the host side. The reading speed higher than that in the process of the conventional reading operation in which the read busy operations are sequentially performed (Fig. 16) is accomplished.

Fig. 8 is a flowchart showing a flow for processes in case of writing data into continuous logic sectors 0 to 3 belonging to the different clusters in a certain segment. In first step S1, a logical/physical conversion table is formed with respect to a segment as a target to be written. In step S2, sector 0 is sent from the host side to the page buffer and the data in sector 0 is transferred from the page buffer to the flash buffer. The time T is required to transfer. In next step S3, in parallel with the sending of sector 1, sector 0 is written into one storage in the flash memory in step S4.

In step S5, sector 2 is sent. In step S6, sector 1 is written into one storage in the flash memory in parallel. Subsequently, processes for sending of sector 3 (step S7), writing of sector 2 (step S8), and writing of sector 3 (step S9) are similarly performed. According to the embodiment of the invention, the situation such that the accesses are concentrated to one storage as in the conventional apparatus does not occur, and the



segments are not switched. Therefore, since there is no need to form the logical/physical conversion table, the high processing speed can be realized.

As an example of a storage switching method  
5 at the time of performing the parallel writing operation as mentioned above and reading out the written data will be described. Fig. 9 shows a construction for supplying addresses to four storages in the embodiment. Fig. 10 shows physical  
10 addresses in the flash memory of  $4 \text{ MB} \times 4 = 16 \text{ MB}$ .

As described with reference to Fig. 4, the physical addresses are expressed by 11 bits of A0, A1, ..., and A10. A0 indicates the LSB (least significant bit) and A10 shows the MSB (most  
15 significant bit).

In the embodiment of the invention, when the formed addresses A0 to A10 of 11 bits are sent to the flash memory, the addresses A2 to A10 are supplied to the flash memory as addresses for  
20 specifying the addresses of the sectors and segments. Two lower bits of A0 and A1 are supplied to the flash memory as addresses for specifying the storage switching. That is, as shown in Fig. 9, the addresses A2 to A10 of nine bits on the upper side  
25 are sent in common to the four storages (0 to 3). The addresses A0 and A1 of two bits on the lower side are supplied to a 2-to-4 decoder 40. Selection

signals CS0, CS1, CS2, and CS3 for selecting each storage are generated from the decoder 40. When (A1, A0) = 00, the selection signal CS0 to select the storage 0 is generated from the decoder 40. When  
5 (A1, A0) = 01, (A1, A0) = 10, or (A1, A0) = 11, the selection signal CS1, CS2, or CS3 for selecting the storage 1, storage 2, or storage 3 is generated from the decoder 40, respectively. In the constructional example of Fig. 3, the decoder 40 is provided in the  
10 memory I/F, sequencer 17.

In case of performing the storage switching as mentioned above, an address change at the time when the physical address is increased from the address in which all 11 bits are equal to 0 to the  
15 address in which all 11 bits are equal to 1 is shown by arrows in Fig. 10. That is, the address change starts from the head cluster of the storage 0. Subsequently, the head cluster of the storage 1 is designated. When the address changes to the head  
20 cluster of the storage 3 via the head cluster of the storage 2, the physical cluster address changes so that the cluster is shifted to the second cluster of the storage 0.

Fig. 11 shows an arrangement of the  
25 segments and the logic cluster addresses in the embodiment of the invention. As will be understood from the arrangement of the logic cluster addresses

in Fig. 11, 512 clusters included in one segment are constructed by 128 clusters included in each of the four storages. The logical/physical address conversion table is formed every segment. Therefore, 5 if no segment is changed, the logical/physical address conversion table to be referred to or updated is not changed, so that a deterioration of the reading performance due to the access to the table or the updating of the table can be prevented. 10 The data can be simultaneously written into the continuous logic cluster addresses, for example, 0x0004 to 0x0007.

When the logic cluster addresses are discontinuous like 0x0000, 0x0200, 0x0400, and 15 0x0600, since those addresses exist in one storage, the data cannot be simultaneously written. However, since a probability that the process for writing the continuous logic sectors into such discontinuous cluster addresses actually occurs is very low, a 20 large problem will not occur.

Although the embodiment has been described above with respect to the flash memory of 4 MB in which one sector consists of 512 B, one cluster consists of 8 kbytes, and one storage consists of 25 512 clusters, those values are shown as an example and the invention can be also applied to flash memories of other numerical values. For example,

the capacity of one cluster can be set to 16 kB.  
The invention can be also applied to a flash memory  
such that a capacity of one storage is equal to 8 MB  
(1024 clusters  $\times$  8 kB), 16 MB (1024 clusters  $\times$  16  
5 kB), 32 MB (2048 clusters  $\times$  16 kB), 64 MB (4096  
clusters  $\times$  16 kB), or the like.

According to the invention, when data  
existing over a plurality of clusters is written,  
the data can be simultaneously written, so that the  
10 high speed writing can be performed. When the data  
existing over a plurality of clusters is written or  
read out, if it exists in the same segment, the  
switching of the logical/physical address conversion  
table can be made unnecessary, so that a high  
15 accessing speed can be realized.

## CLAIMS

1. A data processing system in which a non-volatile memory apparatus having a plurality of storages in which one cluster is constructed by a plurality of sectors and one segment is constructed by a plurality of clusters is detachable to/from a data processing apparatus, wherein

said data processing apparatus has  
address designating means for designating  
10 an address of the cluster in which data is recorded,  
said memory apparatus has  
recording means for recording the data into  
the address designated by said address designating  
means, and

15 the data of said plurality of clusters in  
said one segment is distributed and arranged into  
said plurality of storages.

2. A data processing apparatus using a non-volatile memory apparatus, as a recording medium,  
20 having a plurality of storages in which one cluster  
is constructed by a plurality of sectors and one  
segment is constructed by a plurality of clusters,  
wherein

said data is written into said memory  
25 apparatus so that data of said plurality of clusters  
in said one segment is distributed and arranged into  
said plurality of storages.

3. A non-volatile memory apparatus which is detachable to/from a data processing apparatus and has a plurality of storages in which one cluster is constructed by a plurality of sectors and one  
5 segment is constructed by a plurality of clusters, wherein

data of said plurality of clusters in said one segment is distributed and arranged into said plurality of storages.

10 4. An apparatus according to claim 1, 2, or 3, wherein

an access is performed with reference to a logic cluster address/physical cluster address conversion table.

15 5. A memory apparatus according to claim 3, wherein

a signal to switch said plurality of storages is formed from one or a plurality of bits on the lower side of an address.

20 6. A data recording method of recording, in parallel, data in which one cluster is constructed by a plurality of sectors and which exists over a plurality of said plurality of clusters into a plurality of storages, comprising the steps of:

25 designating a cluster address and writing data into the designated cluster address; and

after completion of the parallel writing

process, distributing and arranging the data of said plurality of clusters in one segment into said plurality of storages.

# ABSTRACT

512 clusters included in one segment are distributed into 128 clusters included in each of four storages. A logical/physical address conversion table is formed every segment. Therefore, unless the segment is changed, the logical/physical address conversion table to be referred to or updated does not change, so that a deterioration of the reading performance due to an access to the table or an updating of the table can be prevented. Data can be simultaneously written into continuous logic cluster addresses, for example, 0x0004 to 0x0007, and the high speed writing operation can be realized.



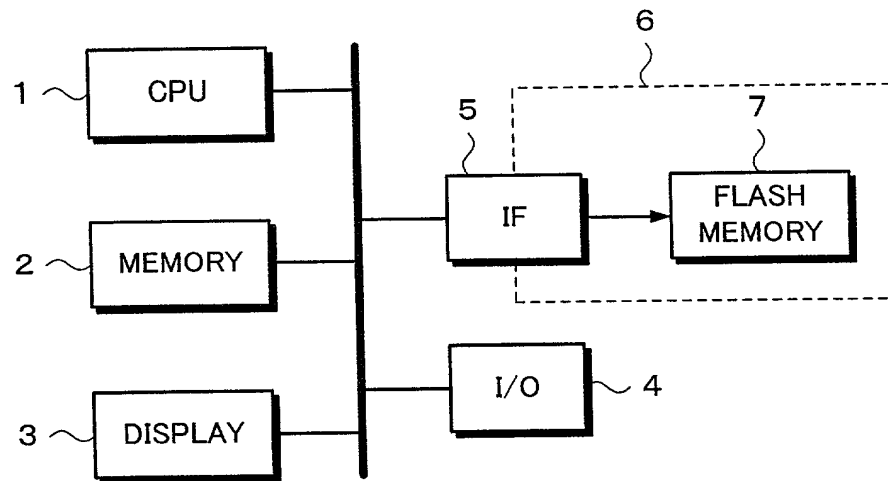
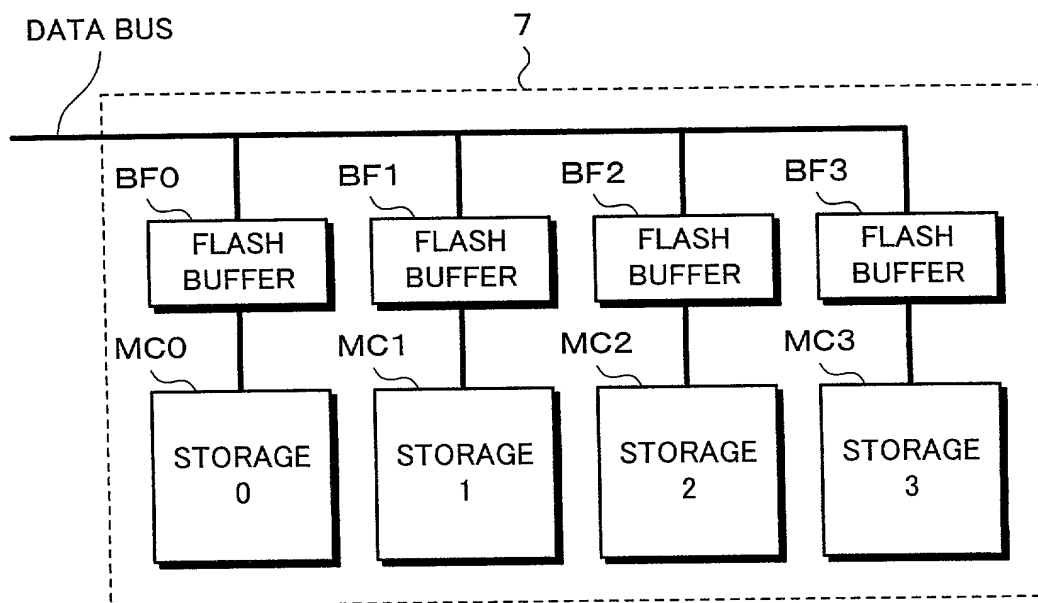
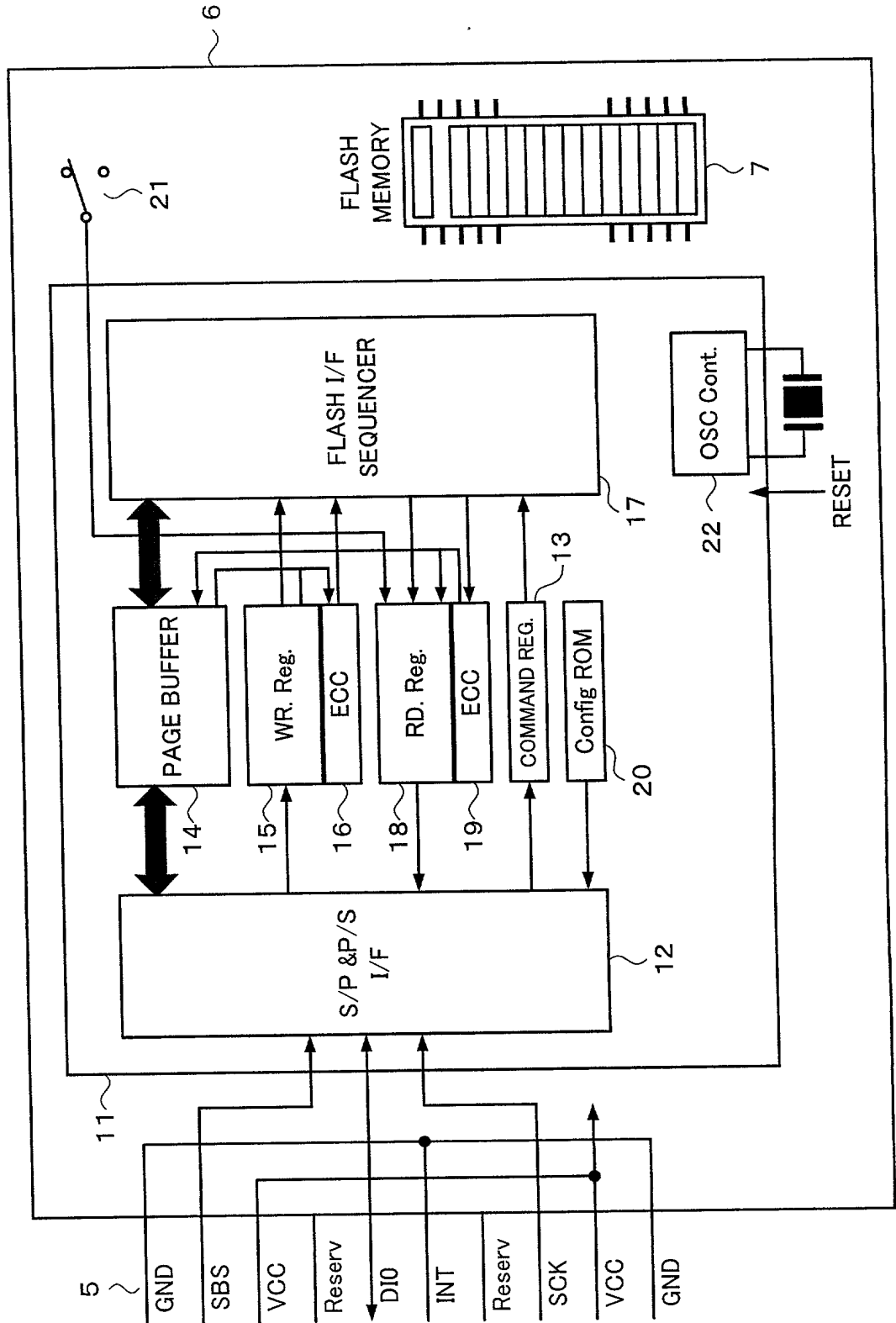
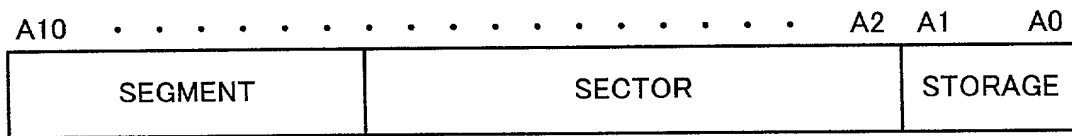
**Fig. 1****Fig. 2**

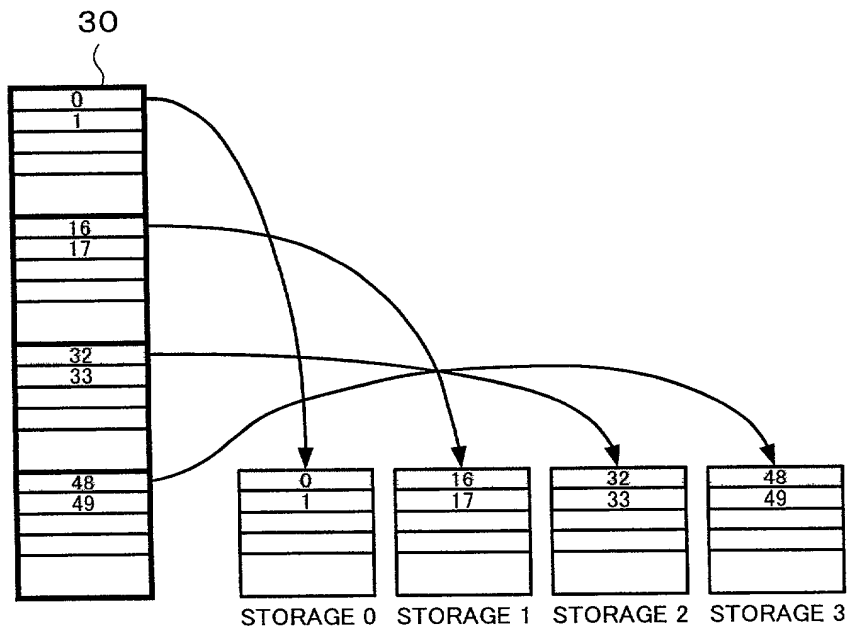
Fig. 3

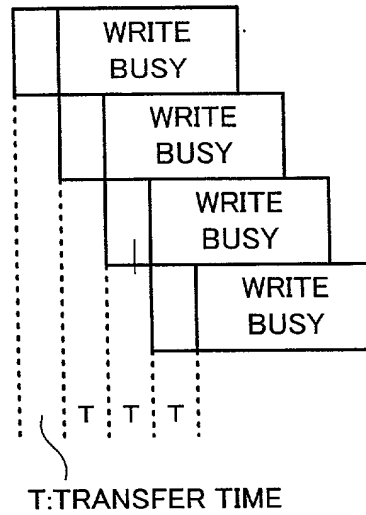
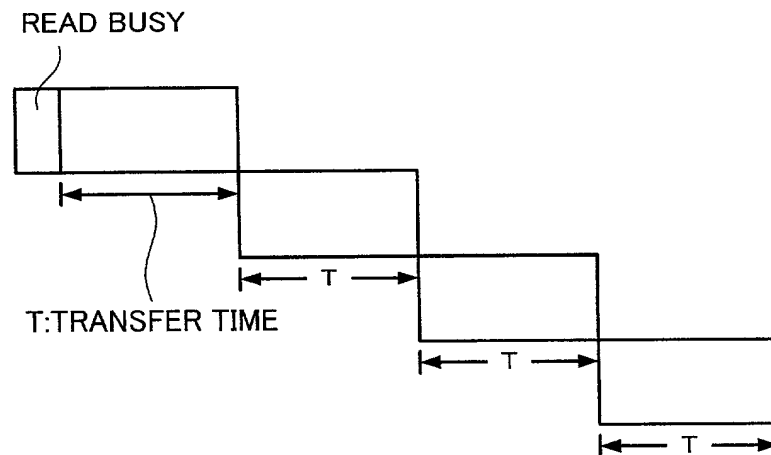


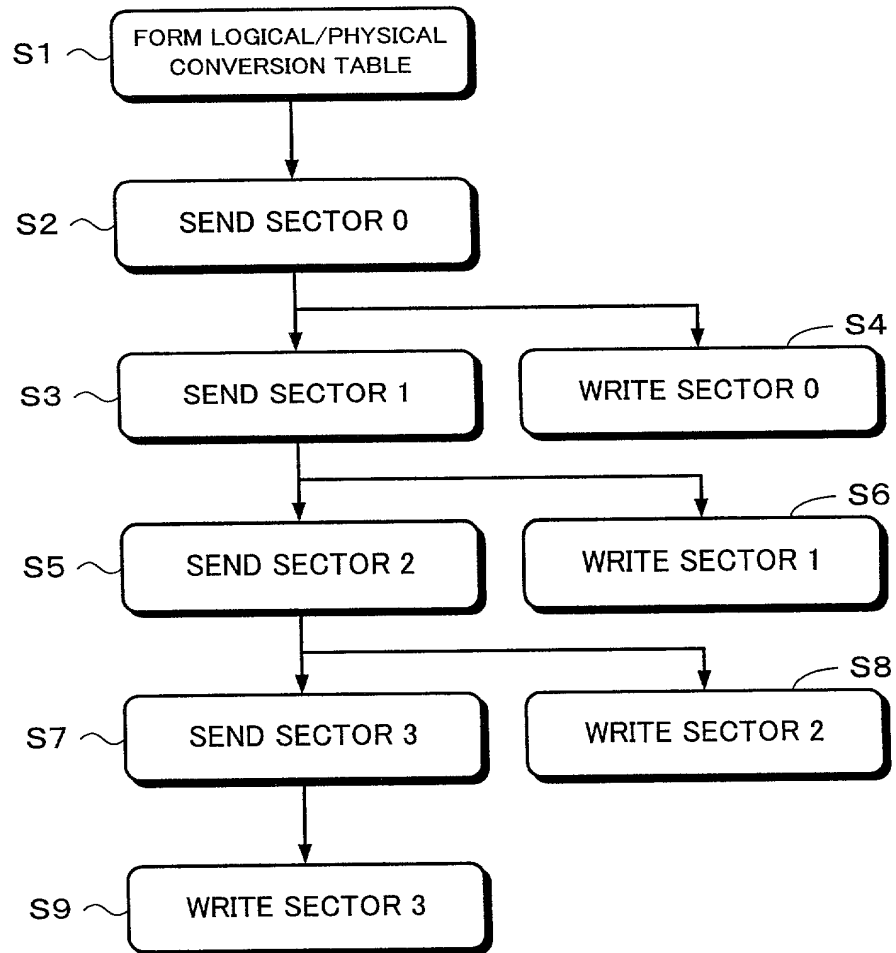
**Fig. 4**



**Fig. 5**



**Fig. 6****Fig. 7**

**Fig. 8**

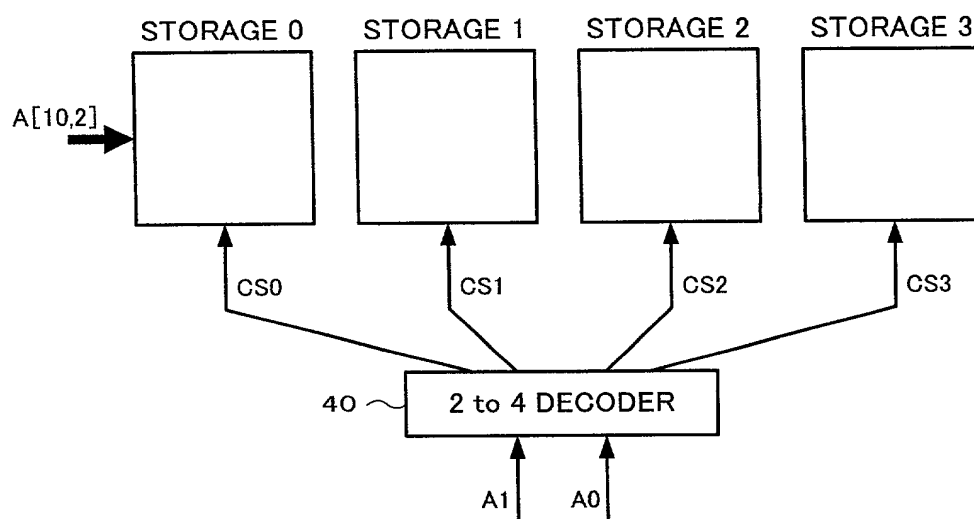
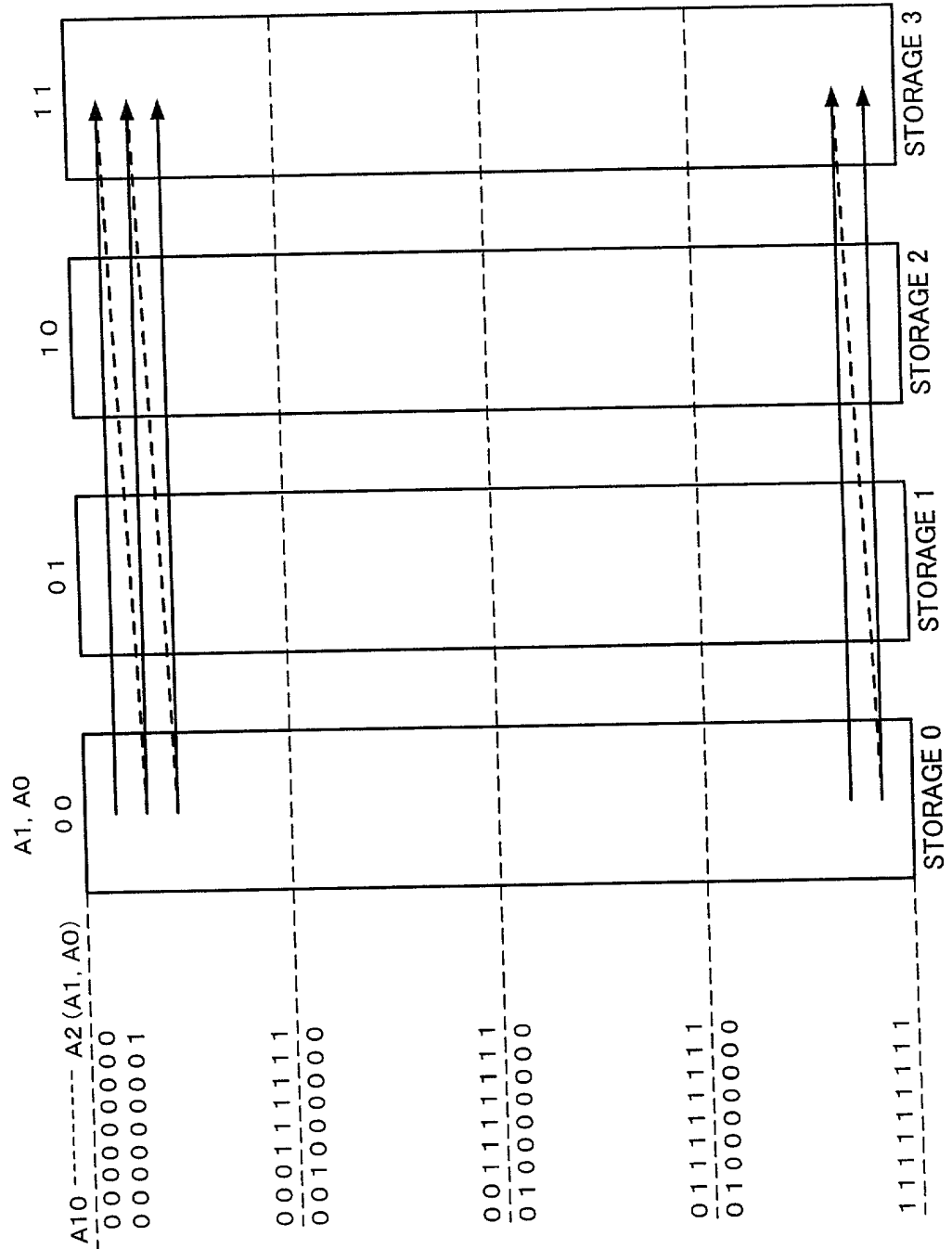
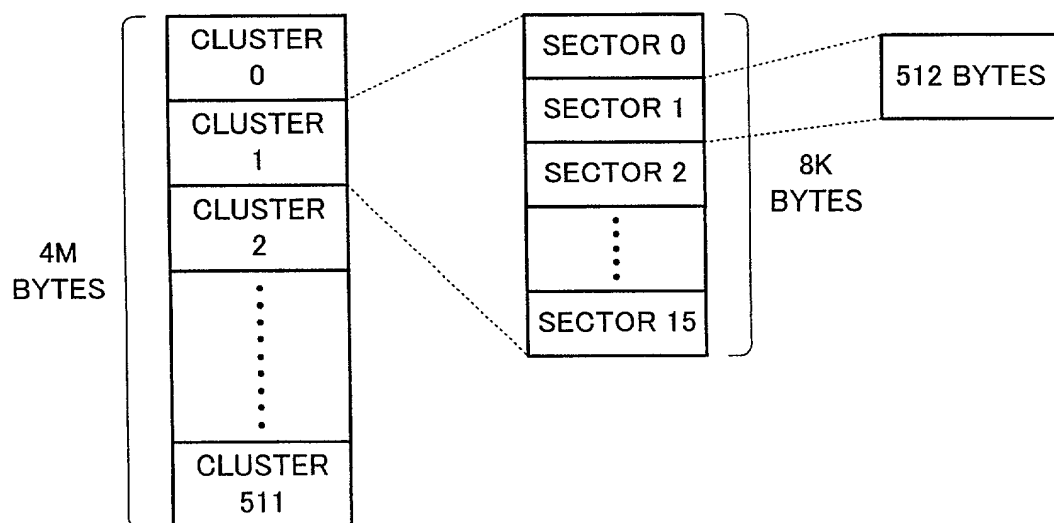
**Fig. 9**

Fig. 10

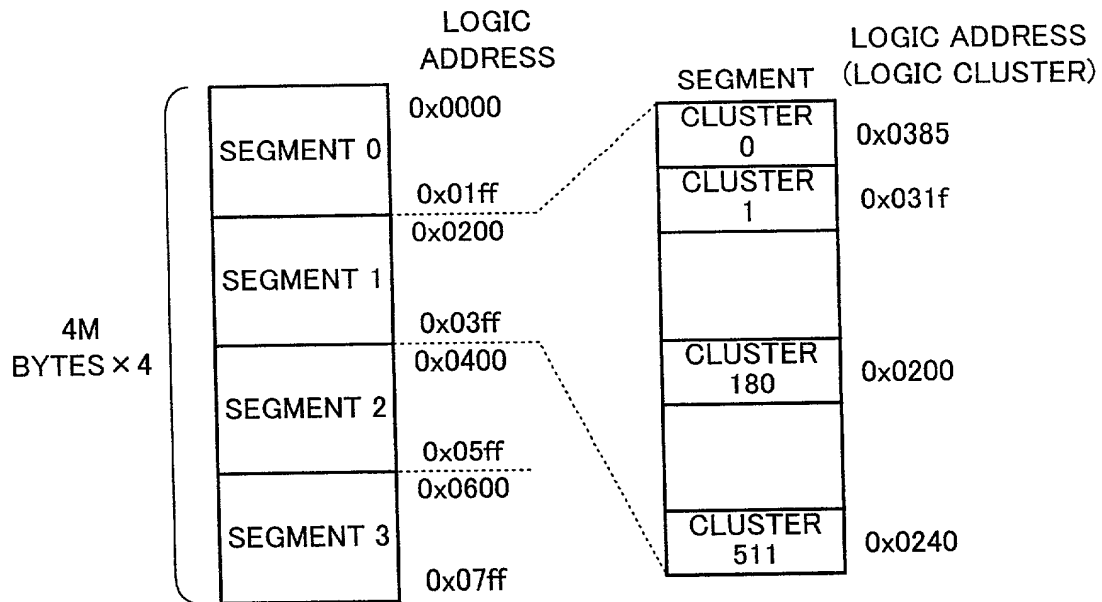


**Fig. 11**

	STORAGE 0	STORAGE 1	STORAGE 2	STORAGE 3
SEGMENT 0	0x0000	0x0001	0x0002	0x0003
	0x0004	0x0005	0x0006	0x0007
	⋮	⋮	⋮	⋮
	0x01fc	0x01fd	0x01fe	0x01ff
SEGMENT 1	0x0200	0x0201	0x0202	0x0203
	⋮	⋮	⋮	⋮
	0x03fc	0x03fd	0x03fe	0x03ff
SEGMENT 2	0x0400	0x0401	0x0402	0x0403
	⋮	⋮	⋮	⋮
	0x04fc	0x04fd	0x04fe	0x04ff
SEGMENT 3	0x0600	0x0601	0x0602	0x0603
	⋮	⋮	⋮	⋮
	0x07fc	0x07fd	0x07fe	0x07ff

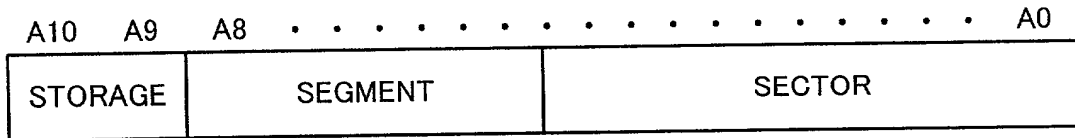
**Fig. 12**



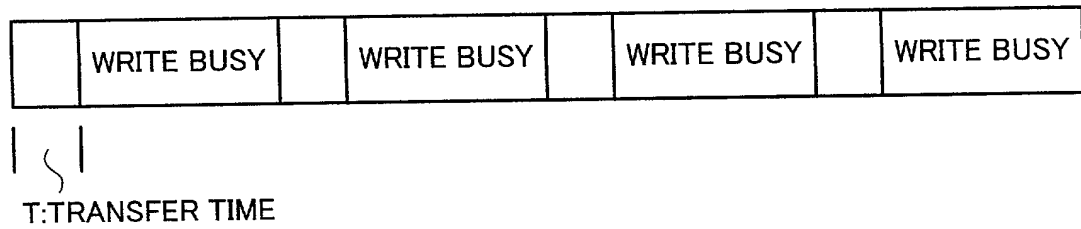
**Fig. 13A****Fig. 13B**

LOGIC ADDRESS	PHYSICAL ADDRESS
0x0200	180
0x0240	511
0x031f	1
0x0385	0

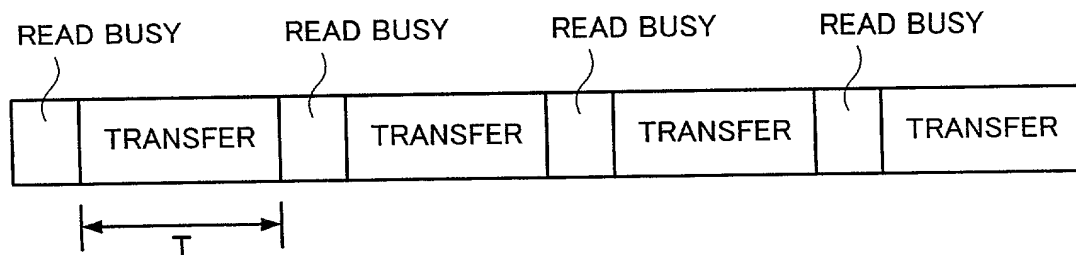
**Fig. 14**



**Fig. 15**



**Fig. 16**



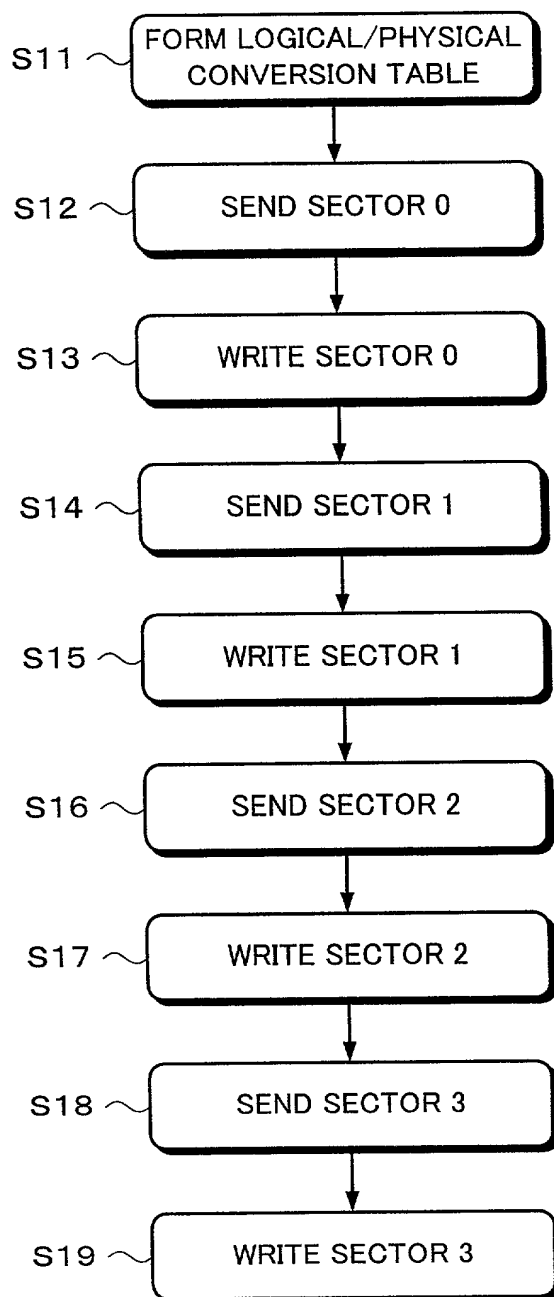
**Fig. 17**

Fig. 18

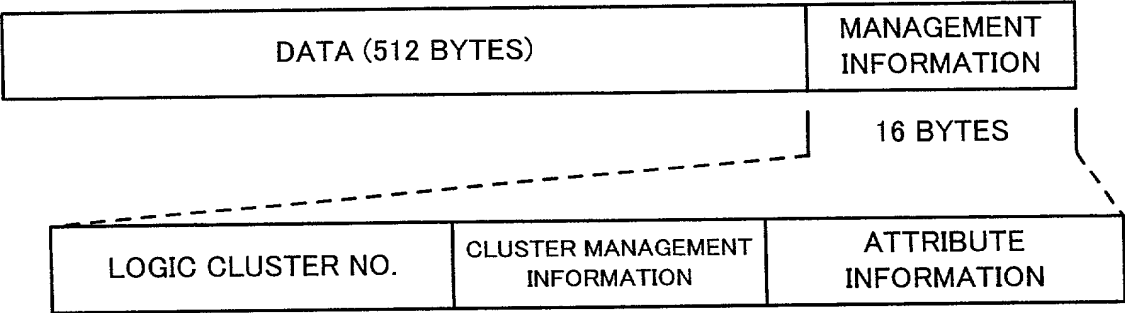


Fig. 19

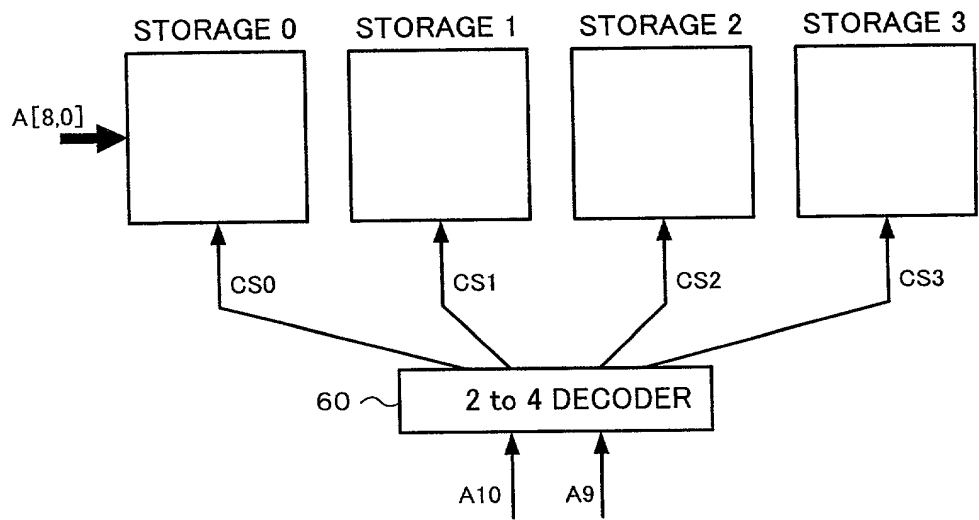
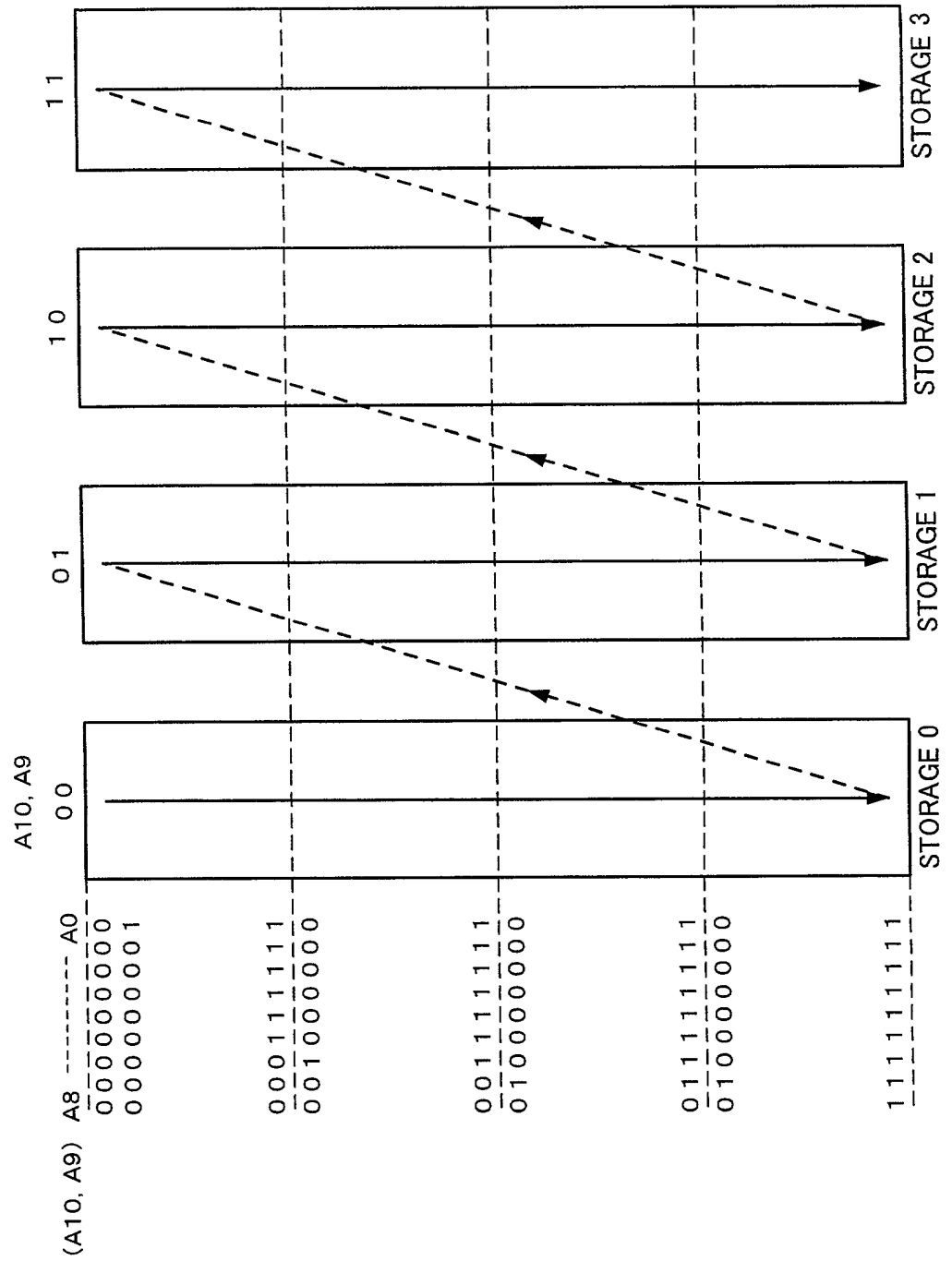


Fig. 20



**Fig. 21**

STORAGE 0 SEGMENT 0	STORAGE 1 SEGMENT 1	STORAGE 2 SEGMENT 2	STORAGE 3 SEGMENT 3
0x0000	0x0200	0x0400	0x0600
0x0004	0x0204	0x0404	0x0604
0x0005			
0x0006			
0x0007			
0x01ff	0x03ff	0x05ff	0x07ff

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My residence, post office address and citizenship are as stated next to my name:

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I believe I am the original, first and sole inventor if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled.

RECORDING SYSTEM, DATA RECORDING APPARATUS,  
MEMORY APPARATUS, AND DATA RECORDING METHOD

the specification of which is attached hereto unless the following box is checked:

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☒ was filed on 28 July 2000  
as United States Application Number of  
PCT International Application Number PCT/JP00/05055  
\_\_\_\_\_ and was amended on  
\_\_\_\_\_ (if applicable).

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\_\_\_\_\_ の日に補正された出願（該当する場合）

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## Prior Foreign Application(s)

## 外国での先行出願

## Priority Not Claimed

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(Day/Month/Year Filed)

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私は、ここに、下記のいかなる米国仮特許出願についても、その米国法典第35編第119条(e)項の利益を主張する。

(Application No.)

(出願番号)

(Filing Date)

(出願日)

私は、ここに、下記のいかなる米国出願についても、その米国法典第35編第120条に基づく利益を主張し、又米国を指定するいかなるPCT国際出願についても、その同第365条(e)に基づく利益を主張する。また、本出願の各特許請求の範囲の主題が、米国法典第35編第112条第1段に規定された態様で、先行する米国出願又はPCT国際出願に開示されていない場合においては、その先行出願の出願日と本国内出願日またはPCT国際出願日との間の期間中に入手された情報で、連邦規則法典第37編規則1.56に定義された特許性に関わる重要な情報について開示義務があることを承認する。

(Application No.)

(出願番号)

(Filing Date)

(出願日)

私は、ここに表明された私自身の知識に係わる陳述が真実であり、且つ情報と信ずることに基づく陳述が、真実であると信じられることを宣言し、さらに、故意に虚偽の陳述などを行った場合は、米国法典第18編第1001条に基づき、罰金または拘禁、若しくはその両方により処罰され、またそのような故意による虚偽の陳述は、本出願またはそれに対して発行されるいかなる特許も、その有効性に問題が生ずることを理解した上で陳述が行われたことを、ここに宣言する。

I hereby claim foreign priority under Title 35, United States Code, Section 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT international application having a filing date before that of the application for which priority is claimed.

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

(Application No.)

(出願番号)

(Filing Date)

(出願日)

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of application.

(Status: Patented, Pending, Abandoned)

(状況: 特許許可、係属中、放棄)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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## 日本語宣言書

委任状： 私は本出願を審査する手続を行い、且つ米国特許商標庁との全ての業務を遂行するために、記名された発明者として、下記の弁護士及び/または弁理士を任命する。(氏名及び整理番号を記載すること)

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number)

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